



New Brunswick Energy and Utilities Board

Reliability Standards, Compliance and Enforcement Program

New Brunswick 2020 Annual Implementation Plan

Version 1

December 18, 2019

Version History

Version	Date	Changes
Draft	November 26, 2019	Initial Release
1	December 18, 2019	

1.0 Background

1.1 New Brunswick Compliance Framework

The [New Brunswick Energy and Utilities Board \(NBEUB\)](#) has the responsibility under the [Electricity Act](#) to adopt and enforce reliability standards in accordance with the [Reliability Standards Regulation \(Regulations\)](#).

1.1.1 Reliability Standards

The NBEUB adopts North American Electric Reliability Corporation (NERC) reliability standards that have been approved by the Federal Energy Regulatory Commission (FERC) and filed by NB Power, with or without modifications for application in NB. NB [Approved Reliability Standards \(Reliability Standards\)](#) are posted on the NBEUB website.

1.1.2 NB Bulk Power System (BPS)

The BPS in NB is determined according to the FERC approved definition of the bulk electric system, as it appears in the “NERC Glossary of Terms Used in Reliability Standards”. Under NB Regulations, the NBEUB may approve exceptions to the NB BPS.

1.1.3 Compliance Registry

The NBEUB maintains a [NB Compliance Registry](#) identifying those owners, users and operators of the BPS who must comply with Reliability Standards. NB registration requirements are generally based on the NERC functional model and registry criteria. The NB Compliance Registry is posted on the NBEUB website.

1.1.4 Compliance Monitoring

The NBEUB implements a compliance monitoring system for Reliability Standards that is generally based

on the requirements of the NERC compliance program. The NB program is documented as the [New Brunswick Compliance Monitoring and Enforcement Program \(NB CMEP\) – Schedule A](#) to the Regulations. The NBEUB engages the Northeast Power Coordinating Council Inc. (NPCC), as a recognized compliance body under the Regulations, to assist with compliance monitoring activities in NB.

1.1.5 Enforcement

The NBEUB implements enforcement processes to ensure Registered Entities mitigate risks to reliability and may impose financial penalties and sanctions for violations of Reliability Standards. NPCC makes recommendations to the NBEUB, which may involve consultations with NERC, for enforcement action in NB.

2.0 New Brunswick Annual Implementation Plan (NB AIP)

2.1 Purpose

The purpose of the NB AIP is to identify the Reliability Standards that will be monitored in the upcoming year, the monitoring methods that will be used, and Registered Entity audit and compliance reporting schedules.

2.2 Development Process

The 2020 NB AIP is developed from the [NERC 2020 ERO Enterprise Compliance Monitoring and Enforcement Program Implementation Plan](#) (NERC IP), and NPCC entity-specific Compliance Oversight Plan(s).

The 2020 NERC IP takes into account continent wide risks to the reliability of the BPS and focuses compliance monitoring on Reliability Standards relating to the Management of Access and Access Controls, Insufficient Long-Term and Operations Planning Due to Inadequate Models, Loss of Major Transmission Equipment with Extended Lead Times, Inadequate Real-time Analysis During Tool and Data Outages, Improper Determination of Misoperations and Gaps in Program Execution.

NPCC Compliance Oversight Plans take into account entity-specific risks and identify NERC Reliability Standards associated with those risks as part of the compliance oversight plan.

Appendix 1 Table 1 lists the Reliability Standards that will be the focus of compliance monitoring for 2020.

2.3 Approval Process

NBEUB compliance staff, in consultation with NPCC, prepares a draft NB AIP. The NBEUB notifies NB Registered Entities and posts the draft NB AIP on its website for comments. At the end of the comment period the NBEUB will finalize the NB AIP and post the final version on its web site by January 1 of the monitoring year. The NB AIP may be subject to revision during the compliance year. In year revisions are typically not posted for comment, however Registered Entities will be notified of any revisions to the NB AIP and updated versions will be posted on the NBEUB website.

3.0 Compliance Monitoring

3.1 Audits

Audits will be carried out according to the schedules set out in the NB AIP. The NBEUB may also initiate unscheduled audits. The NBEUB will provide an initial notice to the Registered Entity confirming the pending audit and identify NPCC as the compliance body that will conduct the audit. NBEUB compliance staff will participate on the NPCC audit team. NPCC will provide an audit notice to the Registered Entity at least 60 days prior the audit date identifying the scope of the audit and audit team members. Audits may also include a review of any open investigations or mitigation actions related to the Registered Entity. The audit team will conduct the audit, prepare an audit report and review the report with the Registered Entity prior to forwarding it to the NBEUB. The NBEUB will review the report and provide the final audit report to the Registered Entity prior to posting a public version on its website. If an audit report identifies a potential violation, the audit report will not be released until the enforcement process is completed.

The 2020 Audit Schedule is set out in Appendix 2, Table 2.

3.2 Self-Certifications

All Registered Entities are subject to reporting self-certification of compliance to the NBEUB according to the schedules established in the NB AIP. Self-certifications are made through the NBEUB [Compliance Monitoring and Reporting System \(CMRS\)](#).

The NBEUB may initiate enforcement action for a late or non-submittal of a self-certification report.

The 2020 reporting schedules for self-certifications are set out in Appendix 3, Tables 4 to 8 (Type SC).

3.3 Spot Checks

The NBEUB may initiate a spot check at any time to verify a Registered Entity's compliance with a Reliability Standard. The NBEUB will provide the Registered Entity at least 20 days advanced notice of a spot check. Spot checks may be conducted by NBEUB compliance staff or by NPCC. The spot check process will require the Registered Entity to submit documentation that will be assessed by the spot check team to determine if a Registered Entity is in compliance with the Reliability Standard.

A draft spot check report will be prepared and made available to the Registered Entity for comments prior to being finalized. The NBEUB will provide the Registered Entity with a final spot check report.

Registered Entities that have had previous potential violations of Reliability Standards may be subject to spot checks for those Reliability Standards in 2020.

3.4 Periodic Data Submittals

Certain Reliability Standards contain provisions for the periodic reporting of data. Periodic data reports are made through the NBEUB CMRS according to the schedules established in the NB AIP.

The 2020 reporting schedules for data submittals are set out in Appendix 3, Tables 4 to 8 (Type PD).

3.5 Self-Reporting

A Registered Entity may self-report a possible violation with a Reliability Standard to the NBEUB through the NBEUB CMRS.

3.6 Compliance Investigations

The NBEUB may initiate a compliance investigation at any time in response to a system disturbance, complaint, suspected violation of a Reliability Standard, or on a recommendation from NERC or NPCC.

3.7 Complaints

A person may notify the NBEUB of a possible violation of a Reliability Standard by completing a [compliance complaint form](#) on the NBEUB website.

Appendix 1- Reliability Standards Subject to Active Compliance Monitoring in 2020

Table 1 - Reliability Standards List

Standard	Title
BAL-002-3	Disturbance Control Standard – Contingency Reserve for Recovery from a Balancing Contingency Event
CIP-002-5.1a	Cyber Security — BES Cyber System Categorization
CIP-003-6	Cyber Security — Security Management Controls
CIP-003-7	Cyber Security — Security Management Controls
CIP-004-6	Cyber Security — Personnel & Training
CIP-005-5	Cyber Security — Electronic Security Perimeter(s)
CIP-006-6	Cyber Security — Physical Security of BES Cyber Systems
CIP-007-6	Cyber Security — System Security Management
CIP-008-5	Cyber Security-Incident Reporting and Response Planning
CIP-009-6	Cyber Security — Recovery Plans for BES Cyber Systems
CIP-010-2	Cyber Security — Configuration Change Management and Vulnerability Assessments
CIP-011-2	Cyber Security — Information Protection
CIP-014-2	Physical Security
COM-001-3	Communications
COM-002-4	Operating Personnel Communications Protocols
EOP-004-4	Event Reporting
EOP-005-3	System Restoration from Blackstart Resources
EOP-006-3	System Restoration Coordination
EOP-008-2	Loss of Control Center Functionality
EOP-010-1	Geomagnetic Disturbance Operations
EOP-011-1	Emergency Operations
FAC-001-3	Facility Interconnection Requirements
FAC-002-2	Facility Interconnection Studies
FAC-003-4	Transmission Vegetation Management
FAC-008-3	Facility Ratings

Table 1 - Reliability Standards List

Standard	Title
FAC-010-3	System Operating Limits Methodology for the Planning Horizon
FAC-011-3	System Operating Limits Methodology for the Operations Horizon
FAC-013-2	Assessment of Transfer Capability for the Near-term Transmission Horizon
FAC-014-2	Establish and communicate System Operating Limits
INT-009-2.1	Implementation of Interchange
IRO-001-4	Reliability Coordination – Responsibilities
IRO-002-5	Reliability Coordination – Monitoring and Analysis
IRO-006-5	Reliability Coordination- Transmission Loading Relief (TLR)
IRO-006-EAST-2	Transmission Loading Relief Procedure for the Eastern Interconnection
IRO-008-2	Reliability Coordinator Operational Analyses and Real-time Assessments
IRO-009-2	Reliability Coordinator Actions to Operate Within IROLs
IRO-010-2	Reliability Coordinator Data Specification and Collection
IRO-014-3	Coordination Among Reliability Coordinators
IRO-017-1	Outage Coordination
IRO-018-1(i)	Reliability Coordinator Real-time Reliability Monitoring and Analysis Capabilities
MOD-001-1a	Available Transmission system Capability
MOD-004-1	Capacity Benefit Margin
MOD-008-1	Transmission Reliability Margin Calculation Methodology
MOD-025-2	Verification and Data Reporting of Generator Real and Reactive Power Capability and Synchronous Condenser Reactive Power Capability
MOD-026-1	Verification of Models and Data for Generator Excitation Control System or Plant Volt/Var Control Functions
MOD-027-1	Verification of Models and Data for Turbine/Governor and Load Control or Active Power/Frequency Control Functions
MOD-031-2	Demand and Energy Data
MOD-032-1	Data for Power System Modeling and Analysis
MOD-033-1	Steady-State and Dynamic System Model Validation
NUC-001-3	Nuclear Plant Interface Coordination
PER-003-2	Operating Personnel Credentials
PER-005-2	Operations Personnel Training
PRC-002-2	Disturbance Monitoring and Reporting Requirements

Table 1 - Reliability Standards List

Standard	Title
PRC-004-5(i)	Protection System Misoperation Identification and Correction
PRC-005-1.1b	Transmission and Generation Protection System Maintenance and Testing
PRC-005-6	Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance
PRC-006-2	Automatic Underfrequency Load Shedding
PRC-006-NPCC-1	Automatic Underfrequency Load Shedding
PRC-008-0	Underfrequency Load Shedding Equipment Maintenance Programs
PRC-010-2	Undervoltage Load Shedding
PRC-011-0	UVLS System Maintenance and Testing
PRC-015-1	Remedial Action Scheme Data and Documentation
PRC-016-1	Remedial Action Scheme Misoperations
PRC-017-1	Remedial Action Scheme Maintenance and Testing
PRC-018-1	Disturbance Monitoring Equipment Installation and Data Reporting
PRC-019-2	Coordination of Generating Unit or Plant Capabilities, Voltage Regulating Controls, and Protection
PRC-023-4	Transmission Relay Loadability
PRC-024-2	Generator Frequency and Voltage Protective Relay Settings
PRC-025-2	Generator Relay Loadability
PRC-026-1	Relay Performance During Stable Power Swings
TOP-001-4	Transmission Operations
TOP-002-4	Operations Planning
TOP-003-3	Operational Reliability Data
TOP-010-1(i)	Real-time Reliability Monitoring and Analysis Capabilities
TPL-001-4	Transmission System Planning Performance Requirements
TPL-007-3	Transmission System Planned Performance for Geomagnetic Disturbance Events
VAR-001-5	Voltage and Reactive Control
VAR-002-4.1	Generator Operation for Maintaining Network Voltage Schedules

Note: The above table lists Reliability Standards that have been identified by the NBEUB as areas of focus for compliance monitoring in 2020, however Registered Entities are required to be compliant with all Reliability Standards applicable to their registration functions and therefore may be subject to additional compliance monitoring in 2020.

Appendix 2 – Annual Audit Plan

Table 2 - 2020 Audit Schedule

Registered Entity	Functions Subject to Audit	CIP	O&P	Audit Date
NB Power Corporation (NB Power)	All Registered Functions	X	X	November 2, 2020
Irving Oil Refining G.P. (Irving)	TO	X	X	November 13, 2020

Table 3 – Reliability Standards Subject to 2020 Compliance Audit

Standard	Title
BAL-002-3	Disturbance Control Standard – Contingency Reserve for Recovery from a Balancing Contingency Event
CIP-002-5.1a	Cyber Security — BES Cyber System Categorization
CIP-003-6	Cyber Security — Security Management Controls
CIP-003-7	Cyber Security — Security Management Controls
CIP-004-6	Cyber Security — Personnel & Training
CIP-005-5	Cyber Security-Electronic Security Perimeter(s)
CIP-006-6	Cyber Security — Physical Security of BES Cyber Systems
CIP-007-6	Cyber Security — System Security Management
CIP-008-5	Cyber Security-Incident Reporting and Response Planning
CIP-009-6	Cyber Security — Recovery Plans for BES Cyber Systems
CIP-010-2	Cyber Security — Configuration Change Management and Vulnerability Assessments
CIP-011-2	Cyber Security — Information Protection
CIP-014-2	Physical Security
COM-001-3	Communications
COM-002-4	Operating Personnel Communications Protocols

Table 3 – Reliability Standards Subject to 2020 Compliance Audit

Standard	Title
EOP-004-4	Event Reporting
EOP-005-3	System Restoration from Blackstart Resources
EOP-006-3	System Restoration Coordination
EOP-008-2	Loss of Control Center Functionality
EOP-010-1	Geomagnetic Disturbance Operations
EOP-011-1	Emergency Operations
FAC-001-3	Facility Interconnection Requirements
FAC-002-2	Facility Interconnection Studies
FAC-003-4	Transmission Vegetation Management
FAC-008-3	Facility Ratings
FAC-010-3	System Operating Limits Methodology for the Planning Horizon
FAC-011-3	System Operating Limits Methodology for the Operations Horizon
FAC-013-2	Assessment of Transfer Capability for the Near-term Transmission Horizon
FAC-014-2	Establish and communicate System Operating Limits
INT-009-2.1	Implementation of Interchange
IR0-001-4	Reliability Coordination – Responsibilities
IR0-002-5	Reliability Coordination – Monitoring and Analysis
IR0-006-5	Reliability Coordination- Transmission Loading Relief (TLR)
IR0-006-EAST-2	Transmission Loading Relief Procedure for the Eastern Interconnection
IR0-008-2	Reliability Coordinator Operational Analyses and Real-time Assessments
IR0-009-2	Reliability Coordinator Actions to Operate Within IROLs
IR0-010-2	Reliability Coordinator Data Specification and Collection
IR0-014-3	Coordination Among Reliability Coordinators
IR0-017-1	Outage Coordination
IR0-018-1(i)	Reliability Coordinator Real-time Reliability Monitoring and Analysis Capabilities
MOD-001-1a	Available Transmission system Capability
MOD-004-1	Capacity Benefit Margin
MOD-008-1	Transmission Reliability Margin Calculation Methodology
MOD-025-2	Verification and Data Reporting of Generator Real and Reactive Power Capability and Synchronous Condenser Reactive Power Capability

Table 3 – Reliability Standards Subject to 2020 Compliance Audit

Standard	Title
MOD-026-1	Verification of Models and Data for Generator Excitation Control System or Plant Volt/Var Control Functions
MOD-027-1	Verification of Models and Data for Turbine/Governor and Load Control or Active Power/Frequency Control Functions
MOD-031-2	Demand and Energy Data
MOD-032-1	Data for Power System Modeling and Analysis
MOD-033-1	Steady-State and Dynamic System Model Validation
NUC-001-3	Nuclear Plant Interface Coordination
PER-003-2	Operating Personnel Credentials
PER-005-2	Operations Personnel Training
PRC-002-2	Disturbance Monitoring and Reporting Requirements
PRC-004-5(i)	Protection System Misoperation Identification and Correction
PRC-005-1.1b	Transmission and Generation Protection System Maintenance and Testing
PRC-005-6	Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance
PRC-006-2	Automatic Underfrequency Load Shedding
PRC-006-NPCC-1	Automatic Underfrequency Load Shedding
PRC-008-0	Underfrequency Load Shedding Equipment Maintenance Programs
PRC-010-2	Undervoltage Load Shedding
PRC-011-0	UVLS System Maintenance and Testing
PRC-015-1	Remedial Action Scheme Data and Documentation
PRC-016-1	Remedial Action Scheme Misoperations
PRC-017-1	Remedial Action Scheme Maintenance and Testing
PRC-018-1	Disturbance Monitoring Equipment Installation and Data Reporting
PRC-019-2	Coordination of Generating Unit or Plant Capabilities, Voltage Regulating Controls, and Protection
PRC-023-4	Transmission Relay Loadability
PRC-024-2	Generator Frequency and Voltage Protective Relay Settings
PRC-025-2	Generator Relay Loadability
PRC-026-1	Relay Performance During Stable Power Swings
TOP-001-4	Transmission Operations

Table 3 – Reliability Standards Subject to 2020 Compliance Audit

Standard	Title
TOP-002-4	Operations Planning
TOP-003-3	Operational Reliability Data
TOP-010-1(i)	Real-time Reliability Monitoring and Analysis Capabilities
TPL-001-4	Transmission System Planning Performance Requirements
TPL-007-3	Transmission System Planned Performance for Geomagnetic Disturbance Events
VAR-001-5	Voltage and Reactive Control
VAR-002-4.1	Generator Operation for Maintaining Network Voltage Schedules

Note: The above table lists Reliability Standards that have been identified by the NBEUB as areas of focus for compliance audits in 2020, however audits may include other Reliability Standards applicable to the Registered Entity’s function. Registered Entities will be notified of the scope of a planned audit at least 60 days prior to the scheduled date of the audit.

Appendix 3 – Compliance Reporting Schedules

Table 4 – Kent Hills Wind LP 2020 Compliance Reporting Schedule

Registered Entity	Function	Standard	Title	Type	Req's	Start of Reporting Period	End of Reporting Period	Due Date
Kent Hills Wind LP	GO	FAC-003-4	Transmission Vegetation Management	PD		01/01/2020	03/31/2020	04/20/2020
Kent Hills Wind LP	GO, GOP	CIP-003-6	Cyber Security — Security Management Controls	SC	R2	01/01/2020	03/31/2020	04/20/2020
Kent Hills Wind LP	GO	FAC-003-4	Transmission Vegetation Management	PD		04/01/2020	07/31/2020	08/20/2020
Kent Hills Wind LP	GO	FAC-003-4	Transmission Vegetation Management	PD		08/01/2020	09/30/2020	10/20/2020
Kent Hills Wind LP	GO	FAC-003-4	Transmission Vegetation Management	PD		10/01/2020	12/31/2020	01/20/2021
Kent Hills Wind LP	GO, GOP	CIP-002-5.1a	Cyber Security — BES Cyber System Categorization	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO, GOP	CIP-003-7	Cyber Security — Security Management Controls	SC	R2	04/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO, GOP	CIP-004-6	Cyber Security — Personnel & Training	SC	R4, R5	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO, GOP	CIP-005-5	Cyber Security-Electronic Security Perimeter(s)	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO, GOP	CIP-006-6	Cyber Security — Physical Security of BES Cyber Systems	SC	R1	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO, GOP	CIP-007-6	Cyber Security — System Security Management	SC	R1, R2, R3	01/01/2020	12/31/2020	03/20/2021

Table 4 – Kent Hills Wind LP 2020 Compliance Reporting Schedule

Registered Entity	Function	Standard	Title	Type	Req's	Start of Reporting Period	End of Reporting Period	Due Date
Kent Hills Wind LP	GO, GOP	CIP-010-2	Cyber Security — Configuration Change Management and Vulnerability Assessments	SC	R1, R4	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO, GOP	CIP-011-2	Cyber Security — Information Protection	SC	R1	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO	FAC-003-4	Transmission Vegetation Management	SC	R1, R2, R3, R6, R7	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO	FAC-008-3	Facility Ratings	SC	R6	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO	PRC-004-5(i)	Protection System Misoperation Identification and Correction	SC	R1, R3	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO	PRC-005-6	Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance	SC	R3	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO	PRC-023-4	Transmission Relay Loadability	SC	R1, R2, R6	01/01/2020	12/31/2020	03/20/2021
Kent Hills Wind LP	GO	PRC-024-2	Generator Frequency and Voltage Protective Relay Settings	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021

Table 5 – Caribou 2020 Compliance Reporting Schedule

Registered Entity	Function	Standard	Title	Type	Req's	Start of Reporting Period	End of Reporting Period	Due Date
Caribou	GO	FAC-003-4	Transmission Vegetation Management	PD		01/01/2020	03/31/2020	04/20/2020
Caribou	GO, GOP	CIP-003-6	Cyber Security — Security Management Controls	SC	R2	01/01/2020	03/31/2020	04/20/2020
Caribou	GO	FAC-003-4	Transmission Vegetation Management	PD		04/01/2020	07/31/2020	08/20/2020
Caribou	GO	FAC-003-4	Transmission Vegetation Management	PD		08/01/2020	09/30/2020	10/20/2020
Caribou	GO	FAC-003-4	Transmission Vegetation Management	PD		10/01/2020	12/31/2020	01/20/2021
Caribou	GO, GOP	CIP-002-5.1a	Cyber Security — BES Cyber System Categorization	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
Caribou	GO, GOP	CIP-003-7	Cyber Security — Security Management Controls	SC	R2	04/01/2020	12/31/2020	03/20/2021
Caribou	GO, GOP	CIP-004-6	Cyber Security — Personnel & Training	SC	R4, R5	01/01/2020	12/31/2020	03/20/2021
Caribou	GO, GOP	CIP-005-5	Cyber Security-Electronic Security Perimeter(s)	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
Caribou	GO, GOP	CIP-006-6	Cyber Security — Physical Security of BES Cyber Systems	SC	R1	01/01/2020	12/31/2020	03/20/2021
Caribou	GO, GOP	CIP-007-6	Cyber Security — System Security Management	SC	R1, R2, R3	01/01/2020	12/31/2020	03/20/2021
Caribou	GO, GOP	CIP-010-2	Cyber Security — Configuration Change Management and Vulnerability Assessments	SC	R1, R4	01/01/2020	12/31/2020	03/20/2021
Caribou	GO, GOP	CIP-011-2	Cyber Security — Information Protection	SC	R1	01/01/2020	12/31/2020	03/20/2021

Table 5 – Caribou 2020 Compliance Reporting Schedule

Registered Entity	Function	Standard	Title	Type	Req's	Start of Reporting Period	End of Reporting Period	Due Date
Caribou	GO	FAC-003-4	Transmission Vegetation Management	SC	R1, R2, R3, R6, R7	01/01/2020	12/31/2020	03/20/2021
Caribou	GO	FAC-008-3	Facility Ratings	SC	R6	01/01/2020	12/31/2020	03/20/2021
Caribou	GO	PRC-004-5(i)	Protection System Misoperation Identification and Correction	SC	R1, R3	01/01/2020	12/31/2020	03/20/2021
Caribou	GO	PRC-005-6	Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance	SC	R3	01/01/2020	12/31/2020	03/20/2021
Caribou	GO	PRC-023-4	Transmission Relay Loadability	SC	R1, R2, R6	01/01/2020	12/31/2020	03/20/2021
Caribou	GO	PRC-024-2	Generator Frequency and Voltage Protective Relay Settings	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021

Table 6 – NB Power 2020 Compliance Reporting Schedule

Registered Entity	Function	Standard	Title	Type	Req's	Start of Reporting Period	End of Reporting Period	Due Date
NB Power	GO, TO	FAC-003-4	Transmission Vegetation Management	PD		01/01/2020	03/31/2020	04/20/2020
NB Power	BA, DP, GO, GOP, RC, TOP, TO	CIP-003-6	Cyber Security — Security Management Controls	SC	R2	01/01/2020	03/31/2020	04/20/2020
NB Power	GO, TO	FAC-003-4	Transmission Vegetation Management	PD		04/01/2020	07/31/2020	08/20/2020
NB Power	GO, TO	FAC-003-4	Transmission Vegetation Management	PD		08/01/2020	09/30/2020	10/20/2020
NB Power	GO, TO	FAC-003-4	Transmission Vegetation Management	PD		10/01/2020	12/31/2020	01/20/2021
NB Power	BA, DP, GO, GOP, RC, TOP, TO	CIP-002-5.1a	Cyber Security — BES Cyber System Categorization	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
NB Power	BA, DP, GO, GOP, RC, TOP, TO	CIP-003-7	Cyber Security — Security Management Controls	SC	R2	04/01/2020	12/31/2020	03/20/2021
NB Power	BA, DP, GO, GOP, RC, TOP, TO	CIP-004-6	Cyber Security — Personnel & Training	SC	R4, R5	01/01/2020	12/31/2020	03/20/2021

Table 6 – NB Power 2020 Compliance Reporting Schedule

Registered Entity	Function	Standard	Title	Type	Req's	Start of Reporting Period	End of Reporting Period	Due Date
NB Power	BA, DP, GO, GOP, RC, TOP, TO	CIP-005-5	Cyber Security-Electronic Security Perimeter(s)	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
NB Power	BA, DP, GO, GOP, RC, TOP, TO	CIP-006-6	Cyber Security — Physical Security of BES Cyber Systems	SC	R1	01/01/2020	12/31/2020	03/20/2021
NB Power	BA, DP, GO, GOP, RC, TOP, TO	CIP-007-6	Cyber Security — System Security Management	SC	R1, R2, R3	01/01/2020	12/31/2020	03/20/2021
NB Power	BA, DP, GO, GOP, RC, TOP, TO	CIP-010-2	Cyber Security — Configuration Change Management and Vulnerability Assessments	SC	R1, R4	01/01/2020	12/31/2020	03/20/2021
NB Power	BA, DP, GO, GOP, RC, TOP, TO	CIP-011-2	Cyber Security — Information Protection	SC	R1	01/01/2020	12/31/2020	03/20/2021
NB Power	TOP	EOP-005-3	System Restoration from Blackstart Resources	SC	R7	01/01/2020	12/31/2020	03/20/2021
NB Power	GO, TO	FAC-003-4	Transmission Vegetation Management	SC	R1, R2, R3, R6, R7	01/01/2020	12/31/2020	03/20/2021

Table 6 – NB Power 2020 Compliance Reporting Schedule

Registered Entity	Function	Standard	Title	Type	Req's	Start of Reporting Period	End of Reporting Period	Due Date
NB Power	GO, TO	FAC-008-3	Facility Ratings	SC	R6	01/01/2020	12/31/2020	03/20/2021
NB Power	RC	IRO-008-2	Reliability Coordinator Operational Analyses and Real-time Assessments	SC	R4	01/01/2020	12/31/2020	03/20/2021
NB Power	PA, RC, TOP	MOD-033-1	Steady-State and Dynamic System Model Validation	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
NB Power	GO, TO	PRC-004-5(i)	Protection System Misoperation Identification and Correction	SC	R1, R3	01/01/2020	12/31/2020	03/20/2021
NB Power	GO, TO	PRC-005-6	Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance	SC	R3	01/01/2020	12/31/2020	03/20/2021
NB Power	TO, GO, PA	PRC-023-4	Transmission Relay Loadability	SC	R1, R2, R6	01/01/2020	12/31/2020	03/20/2021
NB Power	GO	PRC-024-2	Generator Frequency and Voltage Protective Relay Settings	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
NB Power	TOP	TOP-001-4	Transmission Operations	SC	R13	01/01/2020	12/31/2020	03/20/2021
NB Power	PA, TP	TPL-001-4	Transmission System Planning Performance Requirements	SC	R1, R2.1.5	01/01/2020	12/31/2020	03/20/2021

Table 7 – Saint John Energy 2020 Compliance Reporting Schedule

Registered Entity	Function	Standard	Title	Type	Req's	Start of Reporting Period	End of Reporting Period	Due Date
Saint John Energy	DP	CIP-003-6	Cyber Security — Security Management Controls	SC	R2	01/01/2020	03/31/2020	04/20/2020
Saint John Energy	DP	CIP-002-5.1a	Cyber Security — BES Cyber System Categorization	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
Saint John Energy	DP	CIP-003-7	Cyber Security — Security Management Controls	SC	R2	04/01/2020	12/31/2020	03/20/2021
Saint John Energy	DP	CIP-004-6	Cyber Security — Personnel & Training	SC	R4, R5	01/01/2020	12/31/2020	03/20/2021
Saint John Energy	DP	CIP-005-5	Cyber Security-Electronic Security Perimeter(s)	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
Saint John Energy	DP	CIP-006-6	Cyber Security — Physical Security of BES Cyber Systems	SC	R1	01/01/2020	12/31/2020	03/20/2021
Saint John Energy	DP	CIP-007-6	Cyber Security — System Security Management	SC	R1, R2, R3	01/01/2020	12/31/2020	03/20/2021
Saint John Energy	DP	CIP-010-2	Cyber Security — Configuration Change Management and Vulnerability Assessments	SC	R1, R4	01/01/2020	12/31/2020	03/20/2021
Saint John Energy	DP	CIP-011-2	Cyber Security — Information Protection	SC	R1	01/01/2020	12/31/2020	03/20/2021

Table 8 – Irving Oil Refining G.P. 2020 Compliance Reporting Schedule

Registered Entity	Function	Standard	Title	Type	Req's	Start of Reporting Period	End of Reporting Period	Due Date
Irving Oil Refining G.P.	TO	FAC-003-4	Transmission Vegetation Management	PD		01/01/2020	03/31/2020	04/20/2020
Irving Oil Refining G.P.	TO	CIP-003-6	Cyber Security — Security Management Controls	SC	R2	01/01/2020	03/31/2020	04/20/2020
Irving Oil Refining G.P.	TO	FAC-003-4	Transmission Vegetation Management	PD		04/01/2020	07/31/2020	08/20/2020
Irving Oil Refining G.P.	TO	FAC-003-4	Transmission Vegetation Management	PD		08/01/2020	09/30/2020	10/20/2020
Irving Oil Refining G.P.	TO	FAC-003-4	Transmission Vegetation Management	PD		10/01/2020	12/31/2020	01/20/2021
Irving Oil Refining G.P.	TO	CIP-002-5.1a	Cyber Security — BES Cyber System Categorization	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	CIP-003-7	Cyber Security — Security Management Controls	SC	R2	04/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	CIP-004-6	Cyber Security — Personnel & Training	SC	R4, R5	01/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	CIP-005-5	Cyber Security-Electronic Security Perimeter(s)	SC	R1, R2	01/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	CIP-006-6	Cyber Security — Physical Security of BES Cyber Systems	SC	R1	01/01/2020	12/31/2020	03/20/2021

Table 8 – Irving Oil Refining G.P. 2020 Compliance Reporting Schedule

Registered Entity	Function	Standard	Title	Type	Req's	Start of Reporting Period	End of Reporting Period	Due Date
Irving Oil Refining G.P.	TO	CIP-007-6	Cyber Security — System Security Management	SC	R1, R2, R3	01/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	CIP-010-2	Cyber Security — Configuration Change Management and Vulnerability Assessments	SC	R1, R4	01/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	CIP-011-2	Cyber Security — Information Protection	SC	R1	01/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	FAC-003-4	Transmission Vegetation Management	SC	R1, R2, R3, R6, R7	01/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	FAC-008-3	Facility Ratings	SC	R6	01/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	PRC-004-5(i)	Protection System Misoperation Identification and Correction	SC	R1, R3	01/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	PRC-005-6	Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance	SC	R3	01/01/2020	12/31/2020	03/20/2021
Irving Oil Refining G.P.	TO	PRC-023-4	Transmission Relay Loadability	SC	R1, R2, R6	01/01/2020	12/31/2020	03/20/2021

Glossary

Acronym	Definition
SC	Self-Certification
PD	Periodic Data Submittal
BA	Balancing Authority
DP	Distribution Provider
GO	Generator Owner
GOP	Generator Operator
IA	Interchange Authority
LSE	Load Serving Entity
PA	Planning Authority
PSE	Purchasing-Selling Entity
RC	Reliability Coordinator
RP	Resource Planner
RSG	Reserve Sharing Group
TO	Transmission Owner
TOP	Transmission Operator
TP	Transmission Planner
TSP	Transmission Service Provider